

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

FIG. 1

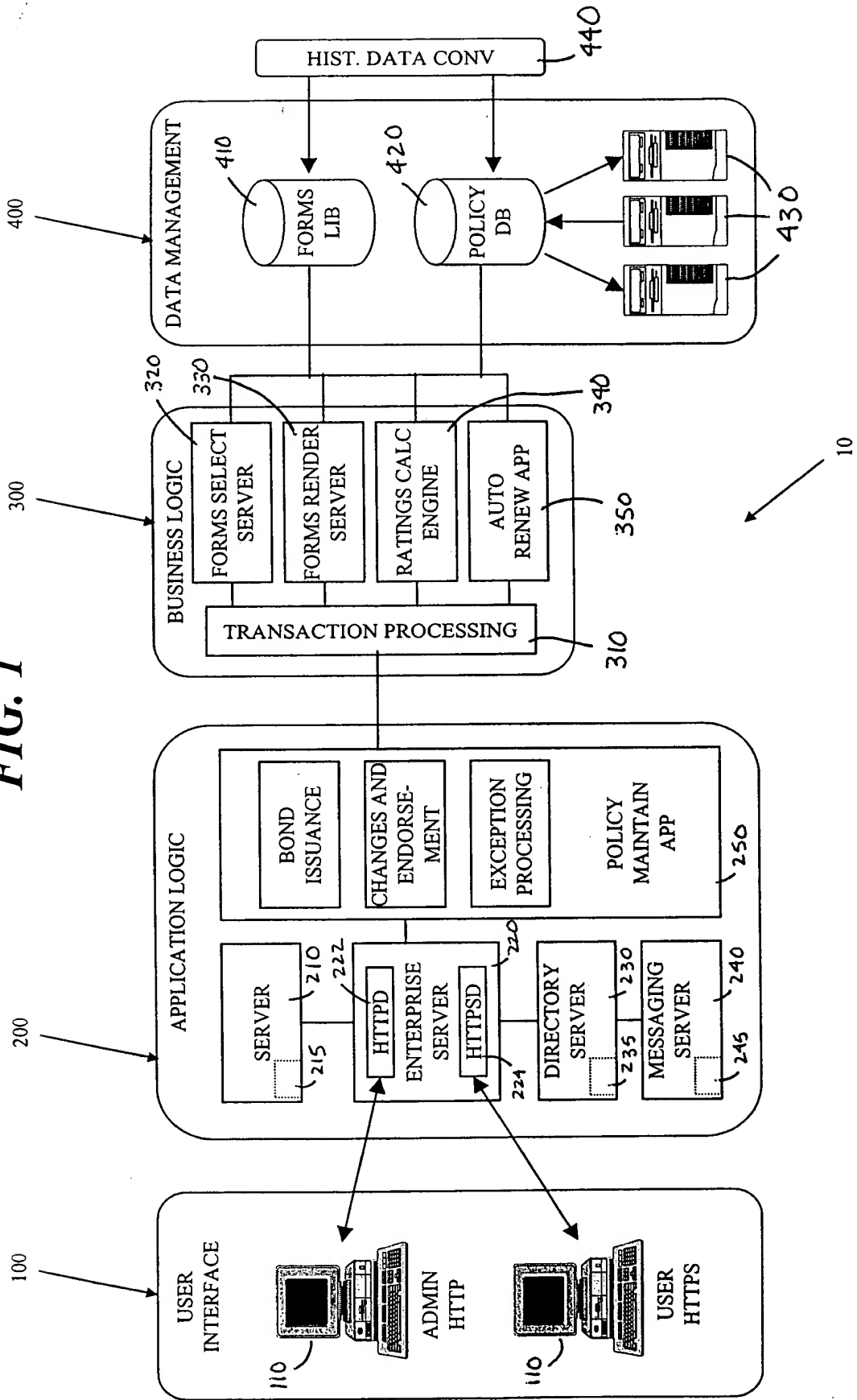
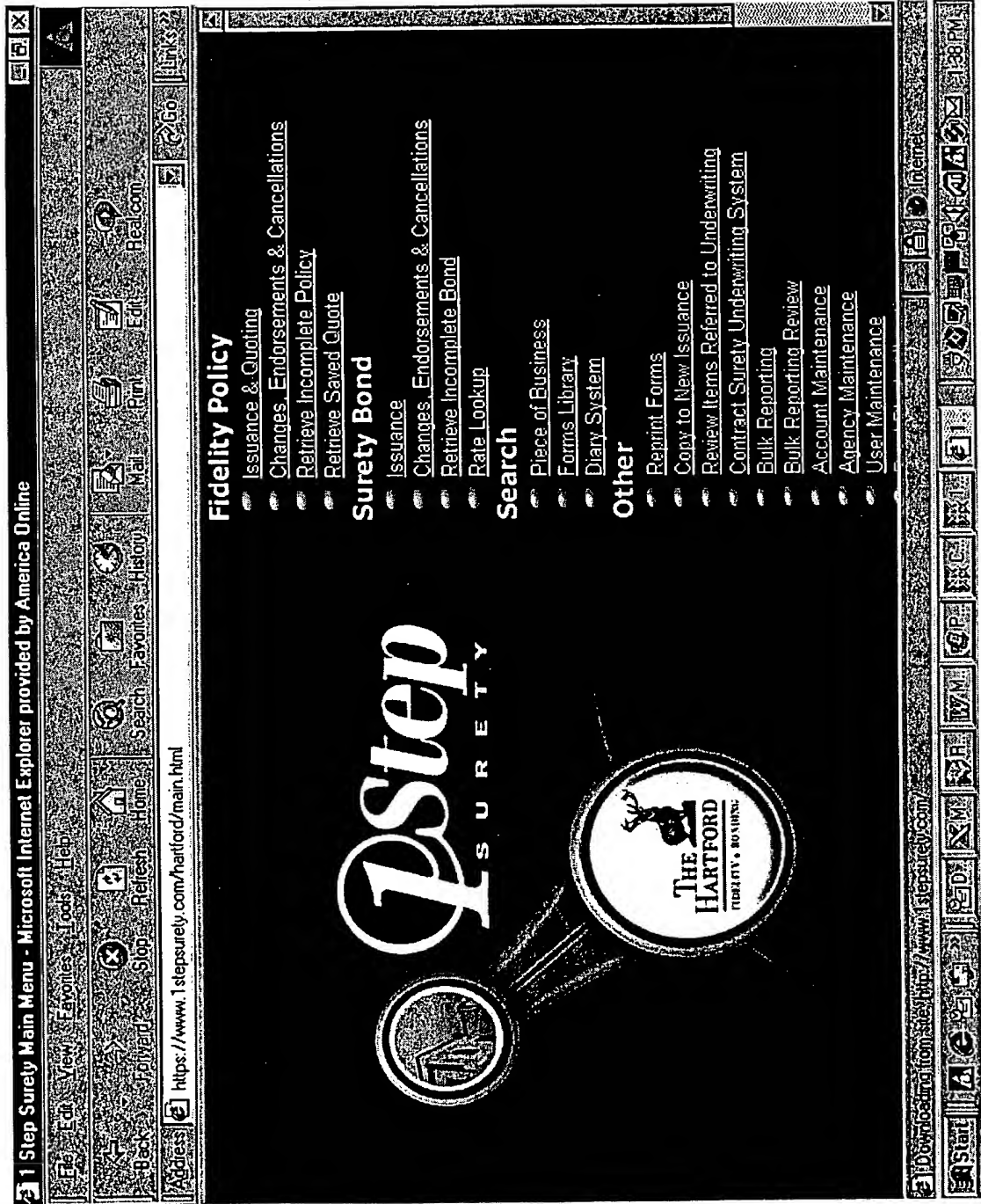


Fig. 2



4-500

Fig 3

Issue a Surety Bond - Netscape

File Edit View Options Content Window Help

Issue a Surety Bond
GNSLOB

back to main menu
help
email

Type of coverage

- ☐ Surety - Contract Bid
- ☐ Surety - Contract Final
- ☐ Surety - Court, Judicial
- ☐ Surety - Court, Probate and Fiduciary
- ☐ Surety - License & Permit
- ☐ Surety - Miscellaneous
- ☐ Surety - Government, Federal and Public Official

☐ Commercial Surety Account Business?

[Continue](#) [Back to Main Menu](#)

511

510

Microsoft

File Edit View Options Document Window Help

Start Issue a Surety Bond GNSLOB Main Menu

Fig 4A

Bond Selection and Rating Criteria - Netscape

Bond Selection and Rating Criteria
SBSRC

Back To Menu:

Producer Information

Agency: RO - Agency Code:
Sub Producer: Agent Requestor:

Principal Information

Type of Legal Entity:

Principal Name (First): (MI): (Last):
Business Name:
Actual Name To Display On Bond:

Bond Information

Surety:

Class Code - Description:

Inception/Effective Date:

Risk State: Risk Zip Code:

Bond Form Selection Criteria

This information will be used only to select bond forms. Obligor information will be collected later.

State: Obligor Name:

If Obligor is a government entity please complete information below:

Political Subdivision:

512

Start Bond Selection and Skip Surety Man View

Fig 4B

Bond Selection and Rating Criteria - Netscape

File Edit View Page Communication Help

main menu
help
email

Principal Information

Type of Legal Entity: ☐

Principal Name (First): (MI): (Last):

Business Name:

Actual Name To Display On Bond:

Bond Information

Surety: ☐

Class Code - Description: ☐

Inception/Effective Date:

Risk State: ☐ Risk Zip Code: ☐

Bond Form Selection Criteria

This information will be used only to select bond forms. Obligor information will be collected later.

State: ☐ Obligor Name:

If Obligor is a government entity please complete information below:

Political Subdivision: ☐

Select Customer Information - Hartford Internal Use Only

AIF #: MSI:

512

Microsoft

Start Bond Selection and 1-Stop Store Main Menu

Fig 5

Identify Bond Forms - Netscape

Identify Bond Form
SIBF

Back To Menu: [] [Go Back]

Select Bond Form (Search Results)

STREET OPENING
LICENSE AND PERMIT BOND
LICENSE BOND
GENERIC LICENSE AND PERMIT BOND - INDEFINITE TERM
GENERAL BOND FORM-LICENSE & PERMIT
EXCAVATION BOND
TOWN OF BLOOMFIELD-BOND TO WORK WITHIN THE PUBLIC RIGHT O...
GENERIC INDEFINITE - LICENSE & PERMIT
TOWN OF WETHERSFIELD-CURB & WALK LAYER'S BOND
TOWN OF SOUTH WINDSOR DRAIN LAYER'S OR EXCAVATION BOND

☒ Use form selected above
☐ Form not found, continue without
☐ Form not required/applicable

522

520

515

Microsoft

Fig 6

Principal and Obligor Information - Netscape

File Edit View Go Window Help

Principal and Obligor Information
SPOT

Back To Menu: [R] [GOVERNMENT]

Principal Information

Address: 55 Main St. City: Hartford State/Province: CT [X]
Zip: 06115 Phone: () -
Email: Fax: () -
SSN: TAX ID: []
Level of Indemnity: ☐ Business ☐ Personal ☐ Third Party
☐ General Indemnity Agreement

Obligor Information

Name: State of CT Address: 20 State Rd. City: Hartford State/Province: CT [X]
Zip: 06115 Phone: () -
Email: Fax: () -

Attorney in Fact

[Blondin, Claudette] *

Bond Number

☒ Generate a new bond number
☐ Use this pre-assigned bond number: []

[Continue] [Cancel] [Print Form and Mail]

back to main menu help email


Office

Microsoft

Fig 7

Surety Underwriting Information - Netscape

File Edit View Go Command Help

 **Surety Underwriting Information**
SUI

Back To Menu:

Surety Underwriting Information

License & Permit Bonds

How long has the applicant been in business under the current name ownership?

For bonds guaranteeing payment of taxes or other monies, complete the following:

Indemnitors & relationship to applicant (including applicant):

Results of Financial Analysis: ☐ Business ☐ Personal

Net Worth:

Working Capital:

Total Revenue:

Total Debt:

back to main menu
help
email

Office
Microsoft

Start | Surety Underwriting I... | 1-Step Surety Main Menu

540

Fig 8

Underwriting Check - Netscape

File Edit View Go Communicator Help

Underwriting Check
GNUC

Back To Menu: [Go Back](#)

This bond is eligible for system underwriting

Please assure the accuracy of your answers to the following questions; they are the basis for YOUR automated underwriting authority.

1. Has the applicant been in business at least 3 years in same location?	<input type="radio"/> Yes <input type="radio"/> No
2. Does the bond guarantee payment of taxes and/or fees? (if yes - obtain financial statement)	<input type="radio"/> Yes <input type="radio"/> No
3. Has the applicant ever filed for bankruptcy?	<input type="radio"/> Yes <input type="radio"/> No
4. Does the applicant have (or pending) any suits or judgements?	<input type="radio"/> Yes <input type="radio"/> No
5. Does the bond guarantee ANY type of environmental/pollution exposure?	<input type="radio"/> Yes <input type="radio"/> No

[Continue](#) [Notes](#) [Back to Main Menu](#)

550

back to main menu
help
email

Microsoft

Document: Done

Start Underwriting Check ... 1 Step Surety Main Menu

9:31 AM

Fig 9

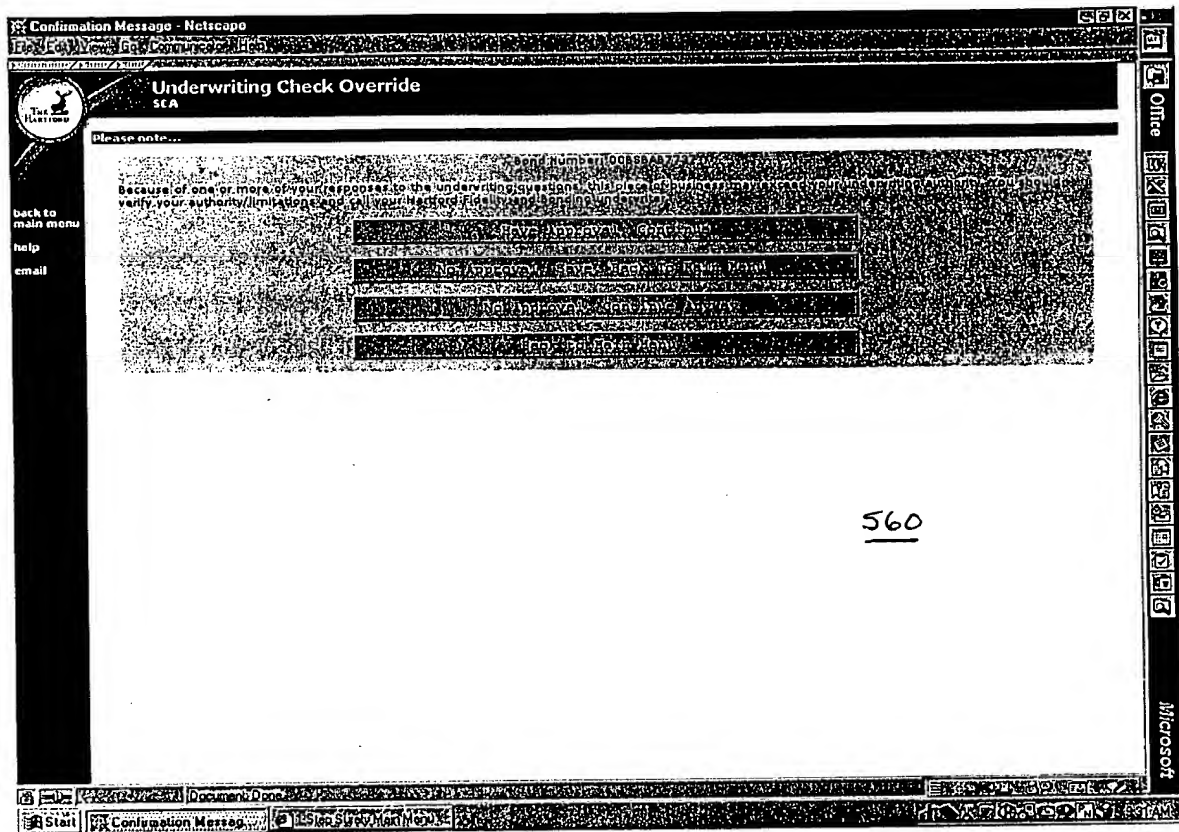
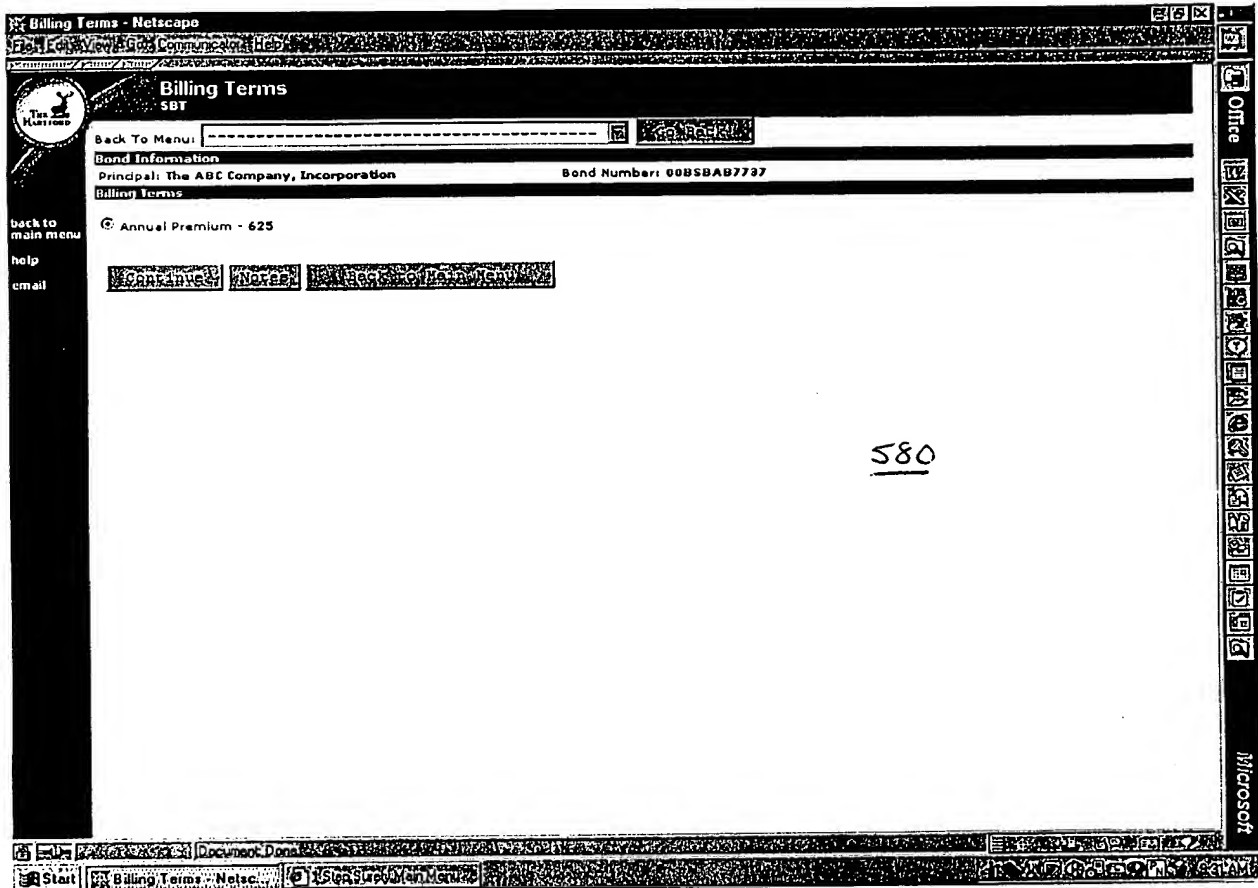


Fig 10

[illegible]

Fig 11



580

Fig 12

Billing Information - NCBF

The Hartford

Back To Menu: [X] [Go Back]

Bond Information

Bond Number: 00B5BAB7737

Total Commission: 20 % adjusted to 20 %

Billing Method

☐ Agency billed
☒ Direct
Full Pay TABS Account: [] N/A

Payment Received at POS
☐ Yes ☒ No

Billing Address

Address:
City:
State/Province: Zip:

Cancel / Rewrite

This policy is a Cancel / Rewrite
☐ Yes ☒ No

Underwriting

Refer this item to a Hartford underwriter upon renewal
☐ Yes ☒ No

[COPIES REMAINING] [NOTES] [BACK TO MAIN MENU]

back to main menu help email

Office [Icons]
Microsoft

Start Billing Information - NCBF Step Supply Main Menu

590

Fig 13

Form-Specific Information - Netscape

Back To Menu:

Bond Number: 008SBAD7737

Signed and Sealed Date:

GENERAL BOND FORM-LICENSE & PERMIT

ENTER TYPE OF UNDERTAKINGS REQUIRED:

ENTER OBLIGATION DESCRIPTION:

INVOICE

No additional fields are required for this form.

POWER OF ATTORNEY - HARTFORD FIRE INSURANCE COMPANY

No additional fields are required for this form.

600

Fig 14

Final Print Version - Netscape

Office

Microsoft

back to main menu
help
email

Notification
GNFP

Activity for Bond Number 00B58AB7737 has been recorded at The Hartford.

Form Number	Form Name	Form Type
<input checked="" type="radio"/> ALL LETTER	All forms to be printed on letter size paper	Letter Simplex
<input type="radio"/> POA17	POWER OF ATTORNEY - HARTFORD FIRE INSURANCE COMPANY	Letter Simplex
<input type="radio"/> J/GEN072	GENERAL BOND FORM-LICENSE & PERMIT	Letter Simplex
<input type="radio"/> 625V2	INVOICE	Letter Simplex

610

Start Final Print Version



HARTFORD FIRE INSURANCE COMPANY

Hartford, Connecticut

POWER OF ATTORNEY

Know all men by these Presents, That HARTFORD FIRE INSURANCE COMPANY, a corporation duly organized under the laws of the State of Connecticut, and having its principal office in the City of Hartford, County of Hartford, State of Connecticut, does hereby make, constitute and appoint
DOUGLAS E. BARNETTE, CLAUDETTE BLONDIN, BEVERLY BOHNERT, JOE BONDCENTER, JOEL CANNON, SHAYN'E DALY, SUSAN FULMER, SUSAN GAGNE, SHARON GRIFFITH, DEBBIE HART, CELESTE HOLMES, DANILLE HUSSEY, FIRST LAST, DON LONGSHORE, VAL MCCORMICK, D RICHENDOLLAR, KATHY RADTKE, TERRI REINERTSEN, DOLORES RICHENDOLLAR, ANIKA RIVERA, MARK H. SEMEL, HELENA SIVAK, BRITANY SLABAUGH, T TRAJICK, TONYA E. TASCHEREAU, JOANN TIMPERLEY, TERRI TRAJICK, V WHEELER, E WILLNER, VICKI WHEELER, VICKI WHEELER, LAURA WILLIAMS, EVELYN WILLNER OF HARTFORD, CONNECTICUT

its true and lawful Attorney(s)-in-Fact, with full power and authority to each of said Attorney(s)-in-Fact, in their separate capacity if more than one is named above, to sign, execute and acknowledge any and all bonds and undertakings and other writings obligatory in the nature thereof on behalf of the Company in its business of guaranteeing the fidelity of persons holding places of public or private trust; guaranteeing the performance of contracts other than insurance policies; guaranteeing the performance of insurance contracts where surety bonds are accepted by states and municipalities; and executing or guaranteeing bonds and undertakings required or permitted in all actions or proceedings or by law allowed, in

penalties not exceeding the sum of:

UNLIMITED

and to bind HARTFORD FIRE INSURANCE COMPANY thereby as fully and to the same extent as if such bonds and undertakings and other writings obligatory in the nature thereof were signed by an Executive Officer of HARTFORD FIRE INSURANCE COMPANY and sealed and attested by one other of such Officers, and hereby ratifies and confirms all that its said Attorney(s)-in-Fact may do in pursuance hereof

This Power of Attorney is granted under and by authority of the By-Laws of HARTFORD FIRE INSURANCE COMPANY, ("the Company") as amended by the Board of Directors at a meeting duly called and held on July 9, 1997, as follows:

ARTICLE IV

SECTION 7. The President or any Vice President or Assistant Vice-President, acting with any Secretary or Assistant Secretary shall have power and authority to sign and execute and attach the seal of the Company to bonds and undertakings, recognizances, contracts of indemnity and other writings obligatory in the nature thereof, and such instruments so signed and executed, with or without the common seal, shall be valid and binding upon the Company

SECTION 8. The President or any Vice-President or any Assistant Vice President acting with any Secretary or Assistant Secretary, shall have power and authority to appoint, for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, one or more resident Vice Presidents, resident Assistant Secretaries and Attorneys-in-Fact and at any time to remove any such resident Vice-President, resident Assistant Secretary, or Attorney-in-Fact, and revoke the power and authority given to him.

Resolved, that the signatures of such Officers and the seal of the Company may be affixed to any such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached

In Witness Whereof, HARTFORD FIRE INSURANCE COMPANY has caused these presents to be signed by its Assistant Vice-President, and its corporate seal to be hereto affixed, duly attested by its Secretary, this 17th day of September, 1997.

HARTFORD FIRE INSURANCE COMPANY

Paul A. Bergenholz



Robert L. Post

Paul A. Bergenholz, Assistant Secretary

Robert L. Post, Assistant Vice President

STATE OF CONNECTICUT

COUNTY OF HARTFORD

SS.

On this 17th day of September, A.D. 1997, before me personally came Robert L. Post, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is the Assistant Vice-President of HARTFORD FIRE INSURANCE COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.

STATE OF CONNECTICUT

COUNTY OF HARTFORD

SS.



Jean H. Wozniak
Jean H. Wozniak
Notary Public
My Commission Expires June 30, 2004

CERTIFICATE

I, the undersigned, Secretary of HARTFORD FIRE INSURANCE COMPANY, a Connecticut Corporation, DO HEREBY CERTIFY that the foregoing and attached POWER OF ATTORNEY remains in full force and has not been revoked; and furthermore, that Article IV, Sections 7 and 8 of the By-Laws of HARTFORD FIRE INSURANCE COMPANY, set forth in the Power of Attorney, are now in force.

Signed and sealed at the City of Hartford.

Dated the 11th day of August 19 2000

Richard L. Marshall, Jr.



J. Dennis Lane

Richard L. Marshall, Jr., Assistant Secretary

J. Dennis Lane, Assistant Vice President



THE HARTFORD

Hartford Plaza
Hartford, Connecticut 06115

KNOW ALL MEN BY THESE PRESENTS, That we, The ABC Company, Incorporation

(hereinafter called Principal), as Principal, and Hartford Fire Insurance Company
a corporation organized and doing business under and by virtue of the laws of the State of Connecticut, and duly
licensed for the purpose of making, guaranteeing or becoming sole surety upon bonds or
Form Specific Field
undertakings required or authorized by the laws of the State of Connecticut

(hereinafter called Surety)

as Surety, are held and firmly bound unto State of CT

(hereinafter called Obligor)

in the just and full sum of One Hundred Twenty-Five Thousand

Dollars (\$125,000) lawful money of the United States of America, for the payment of which, well and
truly to be made, we hereby bind ourselves and our and each of our successors and assigns, jointly and severally,
firmly by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH THAT, WHEREAS,
Form Specific Field

IN WITNESS WHEREOF, said Principal and said Surety have caused these presents to be duly signed and
sealed this 11th

day of August

, A.D. 1920

The ABC Company, Incorporation[Seal]

.....[Seal]

Hartford Fire Insurance Company

By

Claudette Blondin, Attorney-in-Fact

Fig 16

Fig 17



Date: Aug 11, 2000

Agency Code: 00-000001.

Simms Agency
199 Main Street

Hartford, CT 06115
Attn: Bond Department

Insured / Principal: The ABC Company, Incorporation

Policy / Bond #: 00BSBAB7737

Account Name/Number:

Policy Term: Aug 11, 2000 - Aug 11, 2001

Type of Policy: Surety - License & Permit

Billing Term: Annual

Billing Type: Agency Bill

Transaction Type: New Bond

Transaction Effective Date: Aug 11, 2000

Bond Limit: \$125,000

Agent's Advice of Premium for Fidelity and Surety Bonds

Premium	Commission %	Commission Amount
\$ 625	20.00 %	\$ 125

Premium will be included in your usual Agency Accounting statement or Direct Bill notification. If you have any questions regarding this transaction, please contact your Hartford Bond Center.

Fig 18A

Issue a Fidelity Policy - Netscape

Issue a Fidelity Policy
CNS10B

Type of Coverage

- ☐ Commercial Crime
- ☐ Public Employee
- ☐ Financial Institutions - Banks
- ☐ Financial Institutions - Stockbrokers
- ☐ Financial Institutions - Mortgage and Finance Companies
- ☐ Financial Institutions - Insurance Companies
- ☐ Financial Institutions - Credit Unions
- ☐ Financial Institutions - Combination Safe Depository Policy
- ☐ Financial Institutions - Computer Crime Policy

For Computer Crime Policies Only

Type of Entity: ---

Microsoft

back to main menu
help
email


Start Issue a Fidelity Policy... View User Manual...

Fig 18C

Underwriting Check - Netscape

File Edit View Web Communications Help

Continuum Corp / Home / C:\MSW\WWW\Continuum\




back to main menu
help
email

Underwriting Check

GNUC

Back To Menu: _____



This bond is eligible for system underwriting

Please assure the accuracy of your answers to the following questions; they are the basis for YOUR automated underwriting authority.

1. Are at least two signatures required on checks?

☒ Yes ☐ No

2. Do employees who reconcile monthly bank statements also sign checks?

☒ Yes ☐ No

3. Is an independent Certified Public Accountant involved in the applicants financial reporting?

☒ Yes ☐ No

4. Has any similar insurance been declined or canceled during the past three years?

☐ Yes ☒ No

5. Have there been any losses discovered or sustained in the last six years the sum of which exceed the proposed deductible?




☒ Yes ☐ No

6. Do employees who reconcile monthly bank statements handle bank deposits?

☐ Yes ☒ No

7. Do employees who reconcile monthly bank statements have access to check signing machines or signature plates?

☐ Yes ☒ No

Start Underwriting Check Step 1 of 3 Step 2 of 3 Step 3 of 3

Microsoft

Fig 18D

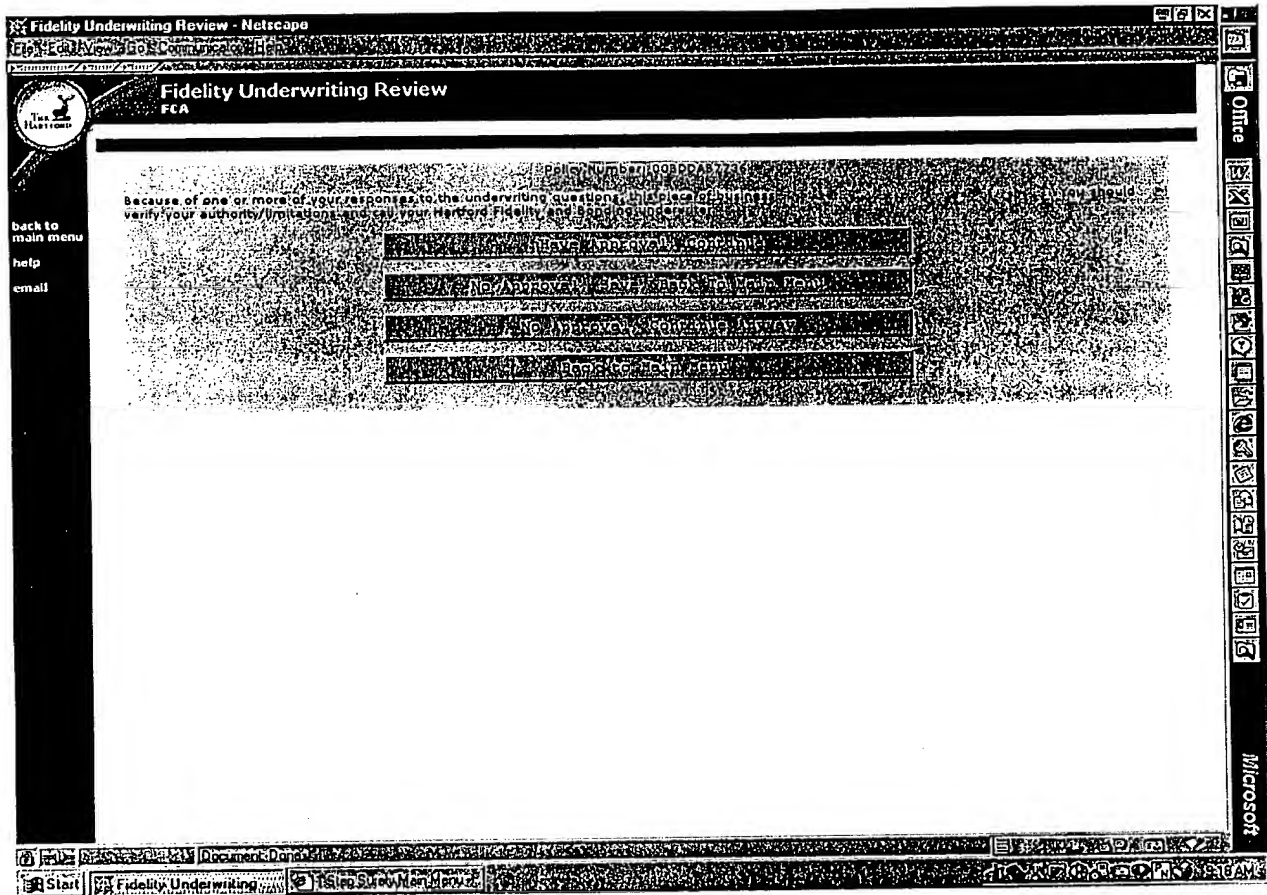


Fig 19

Fidelity Quote - Netscape

Fidelity Quote
FQ

Back To Menu: [Create](#)

Policy Information

Effective Date: 8/11/2000 • Policy #: 008DDAB7736
 Expiration Date: 8/11/2001 • Pre-fill: [Pre-fill](#)
 Insured Name: Test Policy
 Num Ratables: 5 • Total Commission: 15 %

Insuring Agreement

	Limit
Agmt 1 Primary <input checked="" type="checkbox"/>	150000
Agmt 2 Primary <input checked="" type="checkbox"/>	
Ded/Primary for Agmt 1	1500
	Pre-fill
Agmt 3 Primary <input checked="" type="checkbox"/>	
Ded/Primary for Agmt 2	
Agmt 3 Primary <input checked="" type="checkbox"/>	
Money & Securities	
Chattel (not retail)	
Other Property	
(in safe or vault)	
Ded/Primary for Agmt 3/4	

Additional Coverage

Agmt 1 ☒ Specific Perils ☒
 Back Screen ☒ Credit Card ☒
[View Detail](#)

Pricing Variables

Preferred Mailer
 Scheduled Mailer
 Commission Breakpoint
[View Detail](#)

Premiums

Annual Premium
 Single Premium
[View Detail](#)

Quick Quotes

Increase coverage by 50%: [View Detail](#)
 Increase coverage by 100%: [View Detail](#)

Saved Quotes

There are no saved quotes

[Continue](#) [Price Summary](#) [Notes](#) [Back to Main Menu](#)

700

Microsoft

Fig 20

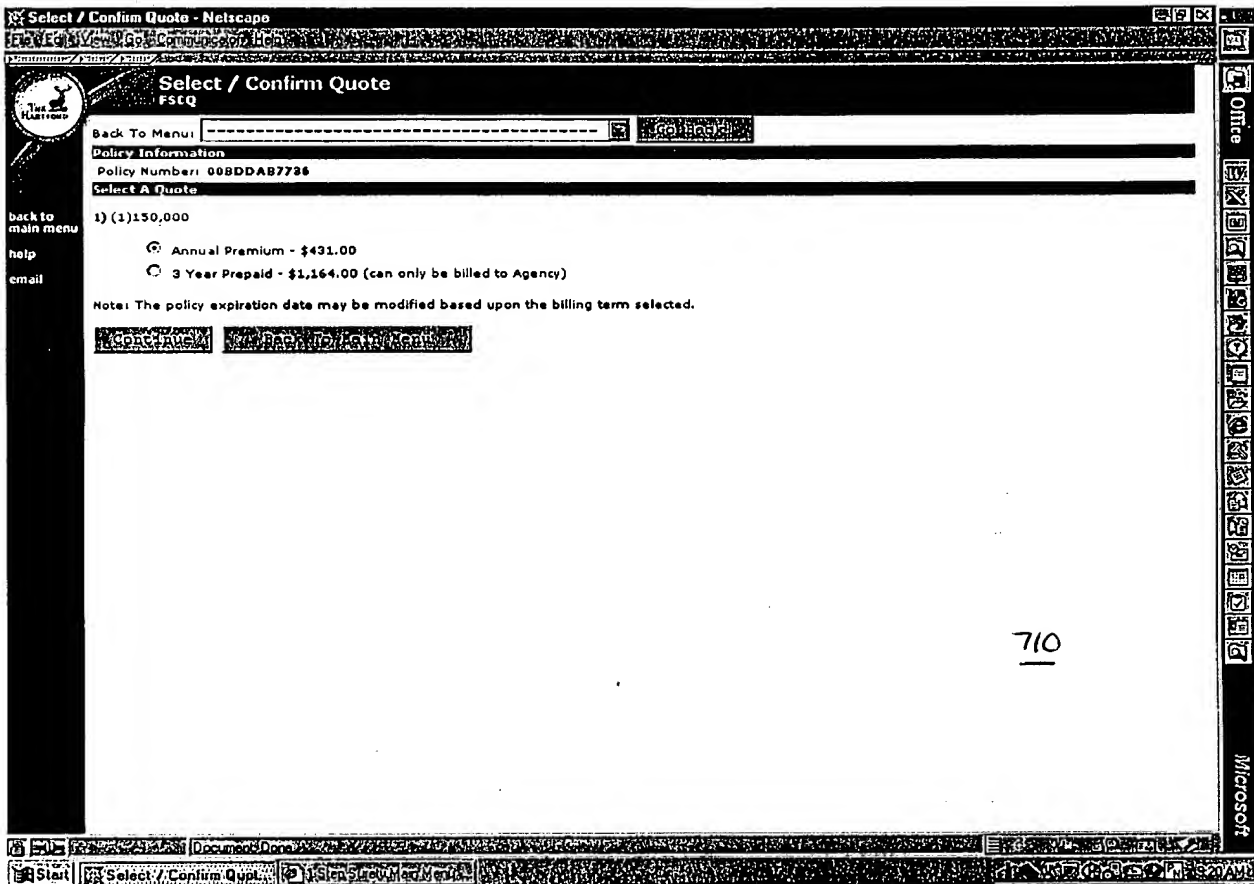


Fig. 21

